PTO/SB/21 (05-03)

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TRANSMITT	AL
FORM	

Application Number 10/671,356 Filing Date September 25, 2003 First Named Inventor Bobby Hu Art Unit **Examiner Name**

(to be used for all correspondence after initial filing) 2186-00402 DVF Total Number of Pages in This Submission 13 Attorney Docket Number

ENCLOSURES (check all that apply)						
Fee Transmittal Form	☐ Drawing(s)	After Allowance Communication to Group				
Fee Attached	☐ Licensing-related Papers	Appeal Communication to Board				
☐ Amendment/Reply	☐ Petition	of Appeals and Interferences				
☐ After Final ☐ Affidavits/declaration(s) ☐ Extension of Time Request ☐ Express Abandonment Request	 ☐ Petition to Convert to a Provisional Application ☐ Power of Attorney, Revocation Change of Correspondence Address ☐ Terminal Disclaimer 	☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) ☐ Proprietary Information ☐ Status Letter ☑ Other Enclosure(s) (please				
☑ Information Disclosure Statement	Request for Refund	identify below):				
☐ Certified Copy of Priority Document(s) ☐ Response to Missing Parts/ Incomplete Application	☐ CD, Number of CD(s)	PTO Form 1449 (1p.); Copy of Acknowledgment Receipt (2 p.), Copy of Electronic Information Disclosure Statement (8 p.) filed concurrently				
Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks					
SIGNATURE O	F APPLICANT, ATTORNEY,	OR AGENT				
Firm Or Individual Name	ORINASH					
Signature						
Date October 30, 2003						
C	ERTIFICATE OF MAILING					
I hereby certify that this correspondence is be class mail in an envelope addressed to: Co shown below.						
Typed or Printed Name M. A. CRA						
Signature	. C Crallee Date	October 30, 2003				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, p. o. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: BOBBY HU § **GROUP ART UNIT:**

SERIAL NO.: 10/671,356

888888 FILED: September 25, 2003 **EXAMINER:**

FOR: Reversible Ratcheting Tool with a

Smaller Head and Improved § **Driving Torque**

INFORMATION DISCLOSURE STATEMENT

Atty. Dkt. No.: 2186-00402

Date: October 30, 2003

Commissioner For Patents P. O. Box 1450 Alexandria, VA. 22313-1450

Sir:

This Information Disclosure Statement, including completed Form PTO-1449, comprises a list of pertinent art of which Applicants are aware. If this application was filed prior to June 30, 2003, a copy of each publication listed on Form PTO-1449 is enclosed herewith.

Consideration of the following related applications and additional information (including any relevant co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:

U.S. Patent Application Serial No. 10/621,552 filed July 17, 2003, entitled Reversible Ratcheting Tool With a Smaller Head and Improved Driving Torque.

Pursuant to 37 C.F.R. § 1.98 (d), copies of certain patents, publications, pending U.S. application(s) or other information, as specified in 37 C.F.R. § 1.98 (a), listed in the attached Form PTO-1449 are not provided herewith, as they have been previously submitted to, or cited by, the Office in the above-mentioned earlier U.S. Patent Application(s). The Information Disclosure Statement filed in the earlier application(s) complies with 37 C.F.R. § 1.98 (a)-(c).

The submission of this Information Disclosure Statement and the references submitted therewith is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation, that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103. It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103.

Electronic Information Disclosure Statement

As a part of this submission, an electronic Information Disclosure Statement (eIDS) is being transmitted on this date, and includes a listing of U.S. Patent and Published Applications of which Applicants are aware. A printed copy of that submission is attached hereto.

In accordance with 37 C.F.R. 1.98(e), no paper copies of those U.S. Patent and Published Applications are enclosed.

No Office Action on the merits has been received in the present application. Nonetheless, in the event that an Office Action dated prior to the mailing date of this Information Disclosure Statement has been issued, please charge Deposit Account 03-2769, Conley Rose, P.C., in the amount of \$180, so that this Information Disclosure Statement may be considered under Rule 1.97(c).

Respectfully submitted,

DEREK V. FORINASH

Reg. No. 47,231

CONLEY ROSE, P.C.

P. O. Box 3267

Houston, Texas 77253-3267

(713) 238-8000

ATTORNEY FOR APPLICANT

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translati YES	on NO
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	AE*	3 73 436	04/12/1923	Germany				х
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	AG*	212343		China (with translation)				Х
	AH*	310649		China (with translation)				Х
OTHER ART (I	ncluding Autho	or, Title, Date, Perti	inent Pages, Etc	:)				
	AI*	First Office Action	on Patent Appl	ication No. 00103289.5 in People's Republic of Ch	iina and Tran	slation; (4 p.))	
	AJ*	Office Action by T and Translations of Patent Publication	of Taiwanese Pate	al Property Office on Opposition to Patent Applica ent Publication No. 212343, of Taiwanese Patent P l p.)	ation No. 089 Publication N	200570 in Ta o. 310649, an	uwan and Tr	anslation; lese
	AK*	Decision by the Bo	oard of Appeal ar	nd Translation of same;(13 p.)				
	AL*	Taiwan Intellectua	l Property Office	e's Answer to Appeal Brief and Translation of same	e (5 p.)			
EXAMINER				DATE CONSIDERED				
EXAMINER: In	itial if reference ed. Include cop	e considered, wheth py of this form with	ier or not citation next communi	on is in conformance with MPEP \$609; Draw li cation to the applicant.	ne through (citation if no	t in conform	nance

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^{*} Previously Cited

UNITED STATES PATENT AND TRADEMARK OFFICE ACKNOWLEDGEMENT RECEIPT

Electronic Version 1.1 Stylesheet Version v1.1.1

> Title of Invention

Reversible Ratcheting Tool With a Smaller Head and Improved Driving Torque

Submission Type:

Information Disclosure Statement

Application Number:

10/671356

10/671356

EFS ID:

49980

Server Response:

Confirmation Code	Message
ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application
ICON1	7120
ISYS5	Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmaton/Application numbers at this time. They will be checked by PTO personnel later.

First Named Applicant:

Bobby Hu Attorney Docket Number: 21860402

Timestamp:

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18 Stylesheet Version v18.0

Title of Invention

Reversible Ratcheting Tool With a Smaller Head and Improved Driving Torque

Application Number:

10/671356

10/671356

Confirmation Number:

7120

First Named Applicant:

Attorney Docket Number: 21860402

Bobby Hu

Search string:

(00015482 or 0726012 or 0810599 or 0841686 or 0878657 or

0893097 or 0915446 or 1033358 or 1090578 or 1194471 or 1261092 or 1382492 or 1426127 or 1614039 or 1639078 or 1680515 or 1957462 or 2193984 or 2201705 or 2201827 or 2317461 or 2542241 or 2657604 or 2701977 or 2764048 or

2769360 or 2800821 or 2891434 or 2957377 or 2978081 or 3019682 or 3044591 or 3233481 or 3250157 or 3265171 or

3337014 or 3342229 or 3393587 or 3393780 or 3436992 or 3577916 or 3606040 or 3713356 or 3743789 or 3783703 or

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4070932 or 4111077 or 4128025 or 4147076 or 4257507 or 4274311 or 4277989 or 4277990 or 4308769 or 4328720 or

4336728 or 4406186 or 4420995 or 4485700 or 4488460 or 4512218 or 4520697 or 4631988 or 4662251 or 4709600 or

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<u>Certification:</u> This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
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US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass .
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Signature

Examiner Name	Date

